

U.S. Risk, LLC | 8401 N. Central Expressway, Dallas, Texas 75225

Applicant Name: \_\_\_\_\_  
Applicant Address: \_\_\_\_\_  
Subsidiaries: \_\_\_\_\_  
State of Domicile: \_\_\_\_\_ Website URL: \_\_\_\_\_  
Year Established: \_\_\_\_\_ Number of Employees: \_\_\_\_\_  
Industry Sector: \_\_\_\_\_  
Nature of Business: \_\_\_\_\_

## FINANCIAL INFORMATION

	Last Complete Financial Year	Current Year (Estimate)	Next Year (Estimate)
Gross Annual Revenue	\$ _____	\$ _____	\$ _____
Annual Net Income Before Taxes	\$ _____	\$ _____	\$ _____
Percentage of Gross Annual Revenue—Payment Card	_____ %	_____ %	_____ %
Percentage of Gross Annual Revenue—Online	_____ %	_____ %	_____ %

## GENERAL INFORMATION

1. Are all servers, firewalls, etc. located in a purpose-built server room with access restricted to appropriate personnel?  Yes  No
2. Are backups taken at least weekly and stored in a secure off-site location?  Yes  No
3. Do you have an email and internet usage policy that has been shared with all employees?  Yes  No
4. Do you have firewall architecture in place?  Yes  No
5. Do all systems users have individual, mandatory and non-trivial user IDs and passwords with forced periodic password changes?  Yes  No
6. Are all PCs and servers protected with up-to-date anti-virus that is updated regularly?  Yes  No

## DATA

7. What is the total number of Personal Identifiable Information records stored on your networks? \_\_\_\_\_
8. What is the total number of Social Security Numbers stored on your networks? \_\_\_\_\_
9. What is the total number of Personal Health Information records stored on your networks? \_\_\_\_\_
10. How many payment card transactions do you process annually? \_\_\_\_\_
11. What is the total number of Payment Card records stored on your networks? \_\_\_\_\_

\_\_\_\_\_  
Authorized signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or printed name:

\_\_\_\_\_  
Title: